## TRI-CITY HEALTHCARE DISTRICT AGENDA FOR A REGULAR MEETING OF THE AUDIT, COMPLIANCE AND ETHICS COMMITTEE April 19, 2018

8:30 a.m. – 10:30 a.m. Assembly Rm. 1

Tri-City Medical Center, 4002 Vista Way, Oceanside, CA 92056

The Committee may make recommendations to the Board on any of the items listed below, unless the item is specifically labeled "Informational Only"

1. Call to order 5 min. Chair  2. Approval of Agenda 2 min. Chair  3. Public Comments – Announcement Comments may be made at this time by members of the public and Committee members on any item on the Agenda before the Committee's consideration of the item or on any matter within the jurisdiction of the Committee's consideration of any Agenda item, members of the public also have the right to address the Committee at that time regarding that item.  4. Ratification of Minutes- January 18, 2018 3 min. Action Chair  5. Old Business –  a) Fiscal Year 2018 – Financial Statement Audit Proposal 10 min. Discussion/ Possible Action  6. New Business – Discussion and Possible Action  a) Administrative Policies & Procedures:  1) Fraud Recognition Response #395 2) Hospital Issued Notice of Non-coverage of Medicare-Covered Services (HINN) #398 3) Important Message from Medicare & Notification of Hospital Discharge Appeal Rights - #392 4) Medical Directorships - #572 5) Monitoring Compliance — Auditing & Reporting — Compliance Work Plan - #552 6) Monitoring Compliance Auditing & Reporting — Compliance Reviews and Audits - #553 7) Physician & Allied Health Professional Service Contracts - #580 8) Sales of Items or Services to Physicians and Other Potential Referral Sources - #575 b) Chief Compliance Officer Report 1) Dashboard Update 2) Work Plan Update 3) Government Audit Trends  7. Motion to go into Closed Session		Agenda Item	Time Allotted	Action/ Recommendation	Requestor/ Presenter
3. Public Comments – Announcement Comments may be made at this time by members of the public and Committee members on any item on the Agenda before the Committee's consideration of the item or on any matter within the jurisdiction of the Committee's consideration of the titem or on any matter within the jurisdiction of the Committee's consideration of any Agenda item, members of the public also have the right to address the Committee at that time regarding that item.  4. Ratification of Minutes- January 18, 2018  3 min. Action Chair  5. Old Business –  a) Fiscal Year 2018 – Financial Statement Audit Proposal  6. New Business – Discussion and Possible Action  a) Administrative Policies & Procedures:  1) Fraud Recognition Response #395  2) Hospital Issued Notice of Non-coverage of Medicare-Covered Services (HINN) #398  3) Important Message from Medicare & Notification of Hospital Discharge Appeal Rights - #392  4) Medical Directorships - #572  5) Monitoring Compliance Auditing & Reporting – Annual Compliance Work Plan - #552  6) Monitoring Compliance and Audits - #553  7) Physician & Allied Health Professional Service Contracts - #580  8) Sales of Items or Services to Physicians and Other Potential Referral Sources - #575  b) Chief Compliance Officer Report  1) Dashboard Update  2) Work Plan Update  2) Work Plan Update  3) Government Audit Trends	1.	Call to order	5 min.		Chair
Comments may be made at this time by members of the public and committee members on any item on the Agenda before the Committee's consideration of the item or on any matter within the jurisdiction of the Committee's consideration of any Agenda item, members of the public also have the right to address the Committee at that time regarding that item.  4. Ratification of Minutes- January 18, 2018 3 min. Action Chair  5. Old Business —  a) Fiscal Year 2018 — Financial Statement Audit Proposal 10 min. Discussion/ Possible Action  6. New Business — Discussion and Possible Action  a) Administrative Policies & Procedures: 1) Fraud Recognition Response #395 2) Hospital Issued Notice of Non-coverage of Medicare-Covered Services (HINN) #398 3) Important Message from Medicare & Notification of Hospital Discharge Appeal Rights - #392 4) Medical Directorships - #572 5) Monitoring Compliance Auditing & Reporting — Annual Compliance Reviews and Audits - #553 7) Physician & Allied Health Professional Service Contracts - #580 8) Sales of Items or Services to Physicians and Other Potential Referral Sources - #575 b) Chief Compliance Officer Report 1) Dashboard Update 2) Work Plan Update 2) Work Plan Update 3) Government Audit Trends	2.	Approval of Agenda	2 min.		Chair
5. Old Business – a) Fiscal Year 2018 – Financial Statement Audit Proposal  6. New Business – Discussion and Possible Action a) Administrative Policies & Procedures: 1) Fraud Recognition Response #395 2) Hospital Issued Notice of Non-coverage of Medicare-Covered Services (HINN) #398 3) Important Message from Medicare & Notification of Hospital Discharge Appeal Rights - #392 4) Medical Directorships - #572 5) Monitoring Compliance — Auditing & Reporting — Annual Compliance Work Plan - #552 6) Monitoring Compliance Auditing & Reporting — Compliance Reviews and Audits - #553 7) Physician & Allied Health Professional Service Contracts - #580 8) Sales of Items or Services to Physicians and Other Potential Referral Sources - #575 b) Chief Compliance Officer Report 1) Dashboard Update 2) Work Plan Update 3) Government Audit Trends	3.	Comments may be made at this time by members of the public and Committee members on any item on the Agenda before the Committee's consideration of the item or on any matter within the jurisdiction of the Committee.  NOTE: During the Committee's consideration of any Agenda item, members of the public also have the right to address the	1 min.		Standard
a) Fiscal Year 2018 – Financial Statement Audit Proposal  10 min. Discussion/ Possible Action  6. New Business – Discussion and Possible Action  a) Administrative Policies & Procedures:  1) Fraud Recognition Response #395 2) Hospital Issued Notice of Non-coverage of Medicare-Covered Services (HINN) #398 3) Important Message from Medicare & Notification of Hospital Discharge Appeal Rights - #392 4) Medical Directorships - #572 5) Monitoring Compliance — Auditing & Reporting — Annual Compliance Work Plan - #552 6) Monitoring Compliance Auditing & Reporting — Compliance Reviews and Audits - #553 7) Physician & Allied Health Professional Service Contracts - #580 8) Sales of Items or Services to Physicians and Other Potential Referral Sources - #575  b) Chief Compliance Officer Report 1) Dashboard Update 2) Work Plan Update 3) Government Audit Trends	4.	Ratification of Minutes- January 18, 2018	3 min.	Action	Chair
6. New Business – Discussion and Possible Action  a) Administrative Policies & Procedures:  1) Fraud Recognition Response #395 2) Hospital Issued Notice of Non-coverage of Medicare-Covered Services (HINN) #398 3) Important Message from Medicare & Notification of Hospital Discharge Appeal Rights - #392 4) Medical Directorships - #572 5) Monitoring Compliance – Auditing & Reporting – Annual Compliance Work Plan - #552 6) Monitoring Compliance Auditing & Reporting – Compliance Reviews and Audits - #553 7) Physician & Allied Health Professional Service Contracts - #580 8) Sales of Items or Services to Physicians and Other Potential Referral Sources - #575 b) Chief Compliance Officer Report 1) Dashboard Update 2) Work Plan Update 3) Government Audit Trends	5.		10 min.		CFO
	6.	<ul> <li>a) Administrative Policies &amp; Procedures:</li> <li>1) Fraud Recognition Response #395</li> <li>2) Hospital Issued Notice of Non-coverage of Medicare-Covered Services (HINN) #398</li> <li>3) Important Message from Medicare &amp; Notification of Hospital Discharge Appeal Rights - #392</li> <li>4) Medical Directorships - #572</li> <li>5) Monitoring Compliance – Auditing &amp; Reporting – Annual Compliance Work Plan - #552</li> <li>6) Monitoring Compliance Auditing &amp; Reporting – Compliance Reviews and Audits - #553</li> <li>7) Physician &amp; Allied Health Professional Service Contracts - #580</li> <li>8) Sales of Items or Services to Physicians and Other Potential Referral Sources - #575</li> <li>b) Chief Compliance Officer Report</li> <li>1) Dashboard Update</li> <li>2) Work Plan Update</li> </ul>		Possible Action	CCO/P. Guerra
	7.				

	Agenda Item	Time Allotted	Action/ Recommendation	Requestor/ Presenter
8.	Closed Session			
	a) Approval of Audit, Compliance & Ethics Closed Session Minutes of January 18, 2018 (Authority: Government Code Section 54957.2)	5 min.	Action	Chair
	b) Conference with Legal Counsel – Potential Litigation (Authority Government Code Section 54956.9(d) (1 Matter)	10 min.	Action	Chair
9.	c) Motion to go into Open Session	2 min.	Action	Chair
10.	Open Session			
11.	Report from Chairperson on any action taken in Closed Session (Authority: Government Code, Section 54957.1).	1 min.		
12.	Committee Communications	5 min.		All
13.	Committee Openings – None	3 min.		Chair
14.	Date of Next Meeting: May 17, 2018	1 min.		Chair
15.	Adjournment			Chair
16.	Total Time Budgeted for Meeting	1.5 hours		

Note: Any writings or documents provided to a majority of the members of Tri-City Healthcare District regarding any item on this Agenda will be made available for public inspection in the Administration Department located at 4002 Vista Way, Oceanside, CA 92056 during normal business hours.